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HEALTH CARE FACILITY

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DEPARTMENT OF HEALTH AND HUMAN SERVICES  
CENTERS FOR MEDICARE & MEDICAID SERVICESPRINTED: 08/02/2012  
FORM APPROVED  
OMB NO. 0938-0391

OTC 9/17/12

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION <b>Poc #1</b>		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:  <b>44E200</b>	(X2) MULTIPLE CONSTRUCTION A. BUILDING _____ B. WING _____		(X3) DATE SURVEY COMPLETED  <b>C</b> <b>08/03/2012</b>
NAME OF PROVIDER OR SUPPLIER  <b>LAURELBROOK SANITARIUM</b>			STREET ADDRESS, CITY, STATE, ZIP CODE <b>114 CAMPUS DRIVE DAYTON, TN 37321</b>		
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETION DATE	
F 000	INITIAL COMMENTS	F 000			
F 151 SS=D	<p>Complaint investigation #30147 and #30176 were completed at Laurelbroom Sanitarium on August 3, 2012. No deficiencies were cited related to Complaint #30176 under 42 CFR PART 482, Requirements for Long Term Care.</p> <p>483.10(a)(1)&amp;(2) RIGHT TO EXERCISE RIGHTS - FREE OF REPRISAL</p> <p>The resident has the right to exercise his or her rights as a resident of the facility and as a citizen or resident of the United States.</p> <p>The resident has the right to be free of interference, coercion, discrimination, and reprisal from the facility in exercising his or her rights.</p> <p>This REQUIREMENT is not met as evidenced by: Based on medical record review, review of facility records, review of local Election Commission records, observation, and interview, the facility failed to provide State and County candidate information to ensure informed voting choices for one resident (#1) of five residents reviewed.</p> <p>The findings included:</p> <p>Resident #1 was admitted to the facility on May 7, 2012, with diagnoses including History of Cardiovascular Accident (Stroke), Hypertension, Diabetes Mellitus Type II, and Chronic Pain Syndrome.</p> <p>Medical record review of an Admission Minimum Data Set (MDS) Assessment dated May 17, 2012, revealed a Brief Interview for Mental Status (BIMS) assessment, with a score of fifteen out of</p>	F 151	<p>The resident has the right to exercise his or her rights as a resident of the facility and as a citizen or resident of the United States.</p> <p>The resident has the right to be free of interference, coercion, discrimination, and reprisal from the facility in exercising his or her rights.</p> <p>1) The Director of Nursing &amp; MDS Coordinator reviewed the voting process with the Activities Coordinator on the afternoon of August 3, 2012 to ensure information is provided to any resident who requests information on voting procedure or candidate information prior to an election. The Voting policy and Resident Rights policy were reviewed and revised by the Administrator on 8/10/12. On 8/13/12 the Administrator reviewed these policies with all Department Head on 8/13/12. A mandatory in-service was conducted with all nursing staff on Resident Rights Policy beginning on 8/10/12, 8/11/12, 8/12/12, and 8/13/12.</p>	8/13/12	

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

A deficiency statement ending with an asterisk (\*) denotes a deficiency which the institution may be excused from correcting providing it is determined that the institution has provided sufficient protection to the patients. (See instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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F 151	<p>Continued From page 1</p> <p>fifteen. A score of fifteen revealed the Resident's cognition was intact.</p> <p>Medical record review of an Activity Progress Note dated July 12, 2012, at 4:08 p.m., revealed the Resident had heard an announcement about Election Commission and voting (Absentee Voting, scheduled to occur at the facility on July 12, 2012, at 9:30 a.m.). Continued review revealed, "... (Resident) said (Resident did not know who to vote for. I (Activity Coordinator) told (Resident) I had a list but (Resident) had to give me some time to finish something that I was doing at that moment. A few minutes later I brought the... list of information about the event. (Resident) was still upset because (Resident) never got enough information about the elections..."</p> <p>Medical record review of an Activity Progress Note dated July 13, 2012, at 2:36 p.m., revealed the Activity Department provided a local newspaper which contained information about local candidates.</p> <p>Review of a facility document dated June 4, 2012, revealed information to register Resident #1 was submitted to the Election Commission by the Activity Coordinator.</p> <p>Review of an Election Commission notice to vote, (no date), addressed to the facility, informed the facility the Absentee Voting Deputies would be at the facility on Thursday, July 12, 2012, at 9:30 a.m., "...to vote your registered Residents..."</p> <p>Observation and interview of the Resident, in the Sun Room, on July 31, 2012, at 4:30 p.m.,</p>	F 151	<p>The current Activities Coordinator was transferred to another position in the educational section of the Company on 8/4/12 by the President of the Company and an Interim Activities Coordinator was appointed. (Attachment - Policies: Voting and Resident Rights)</p> <p>2) On 8/8/12 the MDS Coordinator interviewed all other residents who were cognitively alert to determine if they had requested and received information or did have an opportunity to vote. There was only one resident that voted and he voted absentee and did get his information. (Attachment - Resident Roster with highlighted resident who voted)</p>	8/13/12	

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F 151	<p>Continued From page 2</p> <p>revealed the Resident was sitting in a wheelchair, using a personal laptop computer. "I am very angry because of the lack of information on the (State and County) political candidates...I was unable to vote when they came (Absentee Voting Deputies) because I didn't (did not) have enough information and details about the candidates..."</p> <p>Interview with the Activity Coordinator on August 1, 2012, at 4:30 p.m., in the Sun Room, revealed Resident Voting Program had been assigned to the Activity Coordinator sometime during the last of May 2012, (unable to recall a specific date). Continued interview confirmed, "...I did not understand the voting process in Tennessee; but I understood the process in Rhode Island. To be honest, I didn't (did not) know what to do." Continue interview confirmed the Activity Coordinator did not seek help due to the lack of understanding; and the facility failed to provide Resident #1 with enough information to make an informed voting decision on July 12, 2012.</p> <p>C/O 30147</p>	F 151	<p>3) On 8/4/12 the Activities Coordinator was transferred to another job in the educational section of the Company and an interim Activities Coordinator was appointed. The new Activities Coordinator was in-serviced by the Administrator on Resident Rights and Voting Policies on 8/13/12. Resident Rights is reviewed with all new employees during orientation. (Attachment - Orientation schedule)</p> <p>4) The Administrator will monitor the new Activities Coordinator for compliance with resident rights and voting policies and will observe the interactions with residents and the Activities' Schedule for appropriate activities. This monitoring began on 8/13/12 and will continue weekly through the next election period to ensure compliance has been achieved. The Administrator will report the outcomes to the next quarterly QAPI Committee and ultimately to the Board Quarterly. The next QAPI Committee is scheduled for 8/22/12.</p>	<p>8/13/12</p> <p>8/13/12</p>	

## Voting

Highlights	Policy Statement
	<p>Our facility allows personnel time off to vote.</p> <p>The facility will make arrangements for residents to vote either as absentee or early voting or will take to polls, all efforts will be provided for early voting at the facility for those resident's unable to travel.</p>
	<p><b>Policy Interpretation and Implementation</b></p>
Right to Vote	1. It is the right of every person to vote. Our facility encourages all personnel and residents to exercise this right.
Scheduling Voting Time	2. Voting stations open early and close late. Therefore, we request that employees schedule their voting time so as not to interfere with their work time. Any Resident wanting to vote, the facility will work with the schedule of the residents to accommodate voting time.
Requesting Time Off to Vote	3. Personnel required to work during voting hours will be given time off, with pay, to vote. Such requests must be approved by the department director and noted on the employee's time card. An employee will be assigned to provide transportation for residents who desire to go to the voting polls or assist with voting absentee.
Authorization for Time Off to Vote	4. Personnel authorized to take time off to vote will be permitted to arrive at work one (1) hour late or to leave work one (1) hour early. The department director must initial the employee's time card to indicate that such leave time was for voting time. The employee will be paid for the full shift. (Note: The facility reserves the right to verify whether or not the employee voted.)
Information for voting process or candidate information	5. Information will be provided to resident upon request concerning the voting process or candidate information.
<b>References</b>	
OBRA Regulatory Reference Number	n/a
Survey Tip Number	n/a
Related Documents	

<b>Policy Revised</b>	Date: <u>8/10/12</u>	By: _____
	Date: _____	By: _____
	Date: _____	By: _____
	Date: _____	By: _____

## Resident Rights

Highlights	Policy Statement
Guaranteed Rights	<p>Employees shall treat all residents with kindness, respect, and dignity.</p> <p><b>Policy Interpretation and Implementation</b></p> <ol style="list-style-type: none"> <li>1. Federal and state laws guarantee certain basic rights to all residents of this facility. These rights include the resident's right to:               <ol style="list-style-type: none"> <li>a. Be informed about what rights and responsibilities he or she has;</li> <li>b. Have the facility manage his or her funds (if he or she wishes);</li> <li>c. Choose a physician and treatment and participate in decisions and care planning;</li> <li>d. Privacy and confidentiality;</li> <li>e. Voice grievances and have the facility respond to those grievances;</li> <li>f. Examine survey results;</li> <li>g. Work or not work;</li> <li>h. Privacy in sending and receiving mail;</li> <li>i. Visit and be visited by others from outside the facility;</li> <li>j. Use a telephone in privacy;</li> <li>k. Retain and use personal possessions to the maximum extent that space and safety permit;</li> <li>l. Share a room with a spouse, if that is mutually agreeable;</li> <li>m. Self-administer medication, if the interdisciplinary care planning team determines it is safe; and</li> <li>n. Refuse a transfer from a distinct part within the institution.</li> <li>o. Provide timely information as requested especially voting information on candidates as available prior to voting</li> </ol> </li> </ol>
Exercising Rights	<ol style="list-style-type: none"> <li>2. Residents are entitled to exercise their rights and privileges to the fullest extent possible.</li> </ol>
Assisting Residents in Exercising Rights	<ol style="list-style-type: none"> <li>3. Our facility will make every effort to assist each resident in exercising his/her rights to assure that the resident is always treated with respect, kindness, and dignity.</li> </ol>
Copies of Resident Rights	<ol style="list-style-type: none"> <li>4. Copies of our resident rights are posted throughout the facility, and a copy is provided to each employee upon hire. Each employee has a duty to read and learn the residents' rights.</li> </ol>
Release of Resident Information	<ol style="list-style-type: none"> <li>5. The unauthorized release, access, or disclosure of resident information is prohibited. All release, access, or disclosure of resident information must be in accordance with current laws governing privacy of information issues. All inquiries concerning the release of resident information should be directed to the HIPAA Compliance Officer.</li> </ol>

Orientation/Training

6. Orientation and in-service training programs are conducted regularly to assist our employees in understanding our residents' rights.

Inquiries

7. Inquiries concerning residents' rights should be referred to the Social Services Director.

References	
Other Regulatory Reference Number	483.10(a)-(o)
Survey Tag Number	F151-F177
Related Documents	Resident Rights Guidelines for All Nursing Procedures
Revised	Date: <u>8/10/12</u> By: _____
Revised	Date: _____ By: _____
Revised	Date: _____ By: _____
Revised	Date: _____ By: _____

### LAURELBROOK NURSING HOME ROOM CHART

Rm#	Name		adm #		Rm#	Name		adm #	
1A	HOLLIFIELD, Naomi	3	939		15A	SPURLOCK, Marva		1029	
1B	HARRIS, Jean	4	899		15B	HAYES, Shannon		1038	
2A	RUTH, Ann		1018		16A	JENKINS, Margaret	4	768	
2B	SEAY, Joyce	2	970		16B	BRITT, Novellia		1041	
3A	TARANA, Patricia		972		17A	MARTI, Jessica	4	678	
4A	FORBES, Virginia		1022		17B	RADKEY, Jessica		1040	
5A	BLEVINS, Estella	3	955		18A	MILLER, Sayde	4	951	
6A	VANDERGRIFF, Marie	4	857		18B	SMITH, Isabelle	3	940	
7A	MALONE, Marsha	4	925		19A	BOATWRIGHT, Gary	3	941	
8A	MEDESTE, Kabura	1	964		19B	BLANCHARD, Arnaud		1031	
P1	LINDSEY, Prudence	3	862		20A	WHITED, Ray	3	919	
P2	FRYE, Lillian	3	916		20B	HALL, Tommy		1032	
P3	HANKS, Louise	4	846		21A	EARLS, Donnie	2	924	
P4	TATE, Shirley	4	968		21B	McKAY, Robert		1013	
9A	SHADWICK, Margaret	3	823		22A	ARNETT, Grant		1032	
9B	DANNIELS, Jennie		1016		22B	BOYD, Terrance Lee	4	927	
10A	THOMPSON, "Sula"		1042		23A	PRICE, Wilbur		1006	
10B	PARR, Tyanna		1039		23B	STUMPHF, Walter		988	
11A	SERRANO, Ines		1004		24A	AUGUSTINE, Fernell		1003	
11B	CHERRY, Sandra	4	987		24B	SMITH, Brian Polk City - Vote		999	
12A	KEYS, Inez	3	880		25A	FINE, Lowell		1028	
12B	CASPELICH, Kim		1014		25B	VEAL, Dolph Ed		1035	
13A	EARLE, Margaret	4	948		26A	DENNIS, Raymond		1010	
13B	MCGILL, Betty	4	947		26B	WILSON, Randy		1019	
14A	LAROSA, Laura	4	958						
14B	HOWELL, Doris	4	889						
	Total Acuity Team 1					Total Acuity Team 2			
	Women	34				Independent	1		
	Men	16				Limited Assist	2		
	Total Census	50				Extensive Assist	3		
	07/25/2012					Total Care	4		



## ORIENTATION AGENDA

<b>7:30am – 8:15am</b>	<b>Introduction</b>  Welcome – History Mission Statement Resident Population – Age Specific Concerns Customer Service
<b>8:15am – 8:30</b>	<b>Laws / Regulations</b>  Corporate Compliance Program Family Medical Leave & Parental Leave False Claims Act
<b>8:30am – 8:40am</b>	<b>Resident's Rights</b>  Resident Abuse Elder Abuse Law Advance Directives / POA / DNR
<b>8:40am – 9:00am</b>	<b>CDC/Infection Control</b>  Handwashing Standard Precautions Isolation Precautions Blood/Body Fluids Spill/Clean up/Kit Location Exposure Control Plan – Location, Bloodborne Pathogens, PPE, Interactive Questions
<b>9:00am - 9:15am</b>	<b>Tour of Facility/Morning Break</b>  Time Clock Resident Care Areas Break Rooms / Designated Smoke Areas Parking Departments – PT, OT, SLP, Dietary, Maintenance, Laundry, Housekeeping, Admissions, Social services, Business Office
<b>9:15am – 10:15</b>	<b>Insurance / Payroll</b>  I -9 Form EEO Data Form W-4 Form

Payroll Authorization Form  
Time Card/ID Badge / Replacement Fee  
Employee Wage Information Sheet  
Employee Benefit Summary - Health, Dental,  
Vision, Life,  
401 K, Vacation, Sick Time, Tuition Reimbursement  
Job Description/Signed

**10:15am – 10:45**

**Employee Handbook**

Dress Code  
ID Badge  
Attendance Requirements  
Sick Time/Call Out/Failure to Call  
Vacation Requests  
Overtime  
Tardy  
Medical Leave or Leave or Absence  
Injury on Job  
PRN Policy  
Minimum Hours  
Weekends  
Clock In / Clock Out  
Tardy  
Early  
Leaving Building  
Missed Punches  
Employee Grievance  
Communication  
Drug Free Work Force  
Electronic Medical Record Password  
Cell Phone  
Gait Belt

**10:45am – 11:00am**

**Employee Health**

Reportable Conditions  
New Employee Health History  
Emergency Contacts  
Hepatitis B Vaccine  
Flu vaccine (During Flu Season)  
TB Screening